Record Matching over Query Results from Multiple Web Databases

Weifeng Su, Jiying Wang, and Frederick H. Lochovsky, Member, IEEE Computer Society

Abstract—Record matching, which identifies the records that represent the same real-world entity, is an important step for data integration. Most state-of-the-art record matching methods are supervised, which requires the user to provide training data. These methods are not applicable for the Web database scenario, where the records to match are query results dynamically generated on-the-fly. Such records are query-dependent and a prelearned method using training examples from previous query results may fail on the results of a new query. To address the problem of record matching in the Web database scenario, we present an unsupervised, online record matching method, UDD, which, for a given query, can effectively identify duplicates from the query result records of multiple Web databases. After removal of the same-source duplicates, the “presumed” nonduplicate records from the same source can be used as training examples alleviating the burden of users having to manually label training examples. Starting from the nonduplicate set, we use two cooperating classifiers, a weighted component similarity summing classifier and an SVM classifier, to iteratively identify duplicates in the query results from multiple Web databases. Experimental results show that UDD works well for the Web database scenario where existing supervised methods do not apply.

Index Terms—Record matching, duplicate detection, record linkage, data deduplication, data integration, Web database, query result record, SVM.

1 INTRODUCTION

Today, more and more databases that dynamically generate Web pages in response to user queries are available on the Web. These Web databases compose the deep or hidden Web, which is estimated to contain a much larger amount of high quality, usually structured information and to have a faster growth rate than the static Web. Most Web databases are only accessible via a query interface through which users can submit queries. Once a query is received, the Web server will retrieve the corresponding results from the back-end database and return them to the user.

To build a system that helps users integrate and, more importantly, compare the query results returned from multiple Web databases, a crucial task is to match the different sources’ records that refer to the same real-world entity. For example, Fig. 1 shows some of the query results returned by two online bookstores, booksamillion.com and abebooks.com, in response to the same query “Harry Potter” over the Title field. It can be seen that the record numbered 3 in Fig. 1a and the third record in Fig. 1b refer to the same book, since they have the same ISBN number although their authors differ somewhat. In comparison, the record numbered 5 in Fig. 1a and the second record in Fig. 1b also refer to the same book if we are interested only in the book title and author.1

The problem of identifying duplicates,2 that is, two (or more) records describing the same entity, has attracted much attention from many research fields, including Databases, Data Mining, Artificial Intelligence, and Natural Language Processing.3 Most previous work4 is based on predefined matching rules hand-coded by domain experts or matching rules learned offline by some learning method from a set of training examples. Such approaches work well in a traditional database environment, where all instances of the target databases can be readily accessed, as long as a set of high-quality representative records can be examined by experts or selected for the user to label. In the Web database scenario, the records to match are highly query-dependent, since they can only be obtained through online queries. Moreover, they are only a partial and biased portion of all the data in the source Web databases. Consequently, hand-coding or offline-learning approaches are not appropriate for two reasons. First, the full data set is not available beforehand, and therefore, good representative data for training are hard to obtain. Second, and most importantly, even if good representative data are found and labeled for learning, the rules learned on the

1. A book may have several ISBN numbers due to different editions or different publication formats.
2. We use “duplicate identification” and “record matching” interchangeably when appropriate.
3. The problem has also been referred to as reference matching [27], reference matching interchangeably when appropriate.
4. 3. The problem has also been referred to as record linkage [3], [32], and [35], database merge/purge problem [20], hardening soft databases [11], record matching [34], deduplication [5], [13], and [28], fuzzy duplicate elimination problem [1] and [7], reference matching [27], reference reconciliation [16], and, more recently, entity resolution [4].
5. See Elmagarmid et al. [17] for a survey on duplicate detection techniques.
representatives of a full data set may not work well on a partial and biased part of that data set.

To illustrate this problem, consider a query for books of a specific author, such as “J. K. Rowling.” Depending on how the Web databases process such a query, all the result records for this query may well have only “J. K. Rowling” as the value for the Author field. In this case, the Author field of these records is ineffective for distinguishing the records that should be matched and those that should not. To reduce the influence of such fields in determining which records should match, their weighting should be adjusted to be much lower than the weighting of other fields or even be zero. However, if a matching rule is learned from representatives of the full data set, then it is highly likely that a rule to deal with such fields will be discovered. Moreover, for each new query, depending on the results returned, the field weights should probably change too, which makes supervised-learning-based methods even less applicable.

To overcome such problems, we propose a new record matching method **Unsupervised Duplicate Detection** (UDD) for the specific record matching problem of identifying duplicates among records in query results from multiple Web databases. The key ideas of our method are:

1. We focus on techniques for adjusting the weights of the record fields in calculating the similarity between two records. Two records are considered as duplicates if they are “similar enough” on their fields. As illustrated by the previous example, we believe different fields may need to be assigned different importance weights in an adaptive and dynamic manner.

2. Due to the absence of labeled training examples, we use a sample of universal data consisting of record pairs from different data sources as an approximation for a negative training set as well as the record pairs from the same data source. We believe, and our experimental results verify, that doing so is reasonable since the proportion of duplicate records in the universal set is usually much smaller than the proportion of nonduplicates.

Employing two classifiers that collaborate in an iterative manner, UDD identifies duplicates as follows: First, each field’s weight is set according to its “relative distance,” i.e., dissimilarity, among records from the approximated negative training set. Then, the first classifier, which utilizes the weights set in the first step, is used to match records from different data sources. Next, with the matched records being a positive set and the nonduplicate records in the negative set, the second classifier further identifies new duplicates. Finally, all the identified duplicates and nonduplicates are used to adjust the field weights set in the first step and a new iteration begins by again employing the first classifier to identify new duplicates. The iteration stops when no new duplicates can be identified.

The contributions of this paper include:

- To our knowledge, this is the first work that studies and solves the online duplicate detection problem for the Web database scenario where query results are generated on-the-fly. In this scenario, the importance of each individual field needs to be considered, which may vary widely from query to query. This makes existing work based on hand-coded rules or offline learning inappropriate.

- To our knowledge, this is also the first work that takes advantage of the dissimilarity among records from the same Web database for record matching. Most existing work requires human-labeled training data (positive, negative, or both), which places a heavy burden on users.

- A machine learning algorithm is proposed to learn only from an approximated negative training set, which may contain some positive examples, i.e., noise. Most existing work learns from a positive example set that contains no noise.

The rest of the paper is organized as follows: In Section 2, related work is reviewed. Section 3 first defines the duplicate

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5. The terms *data source* and *Web database* are used interchangeably in this paper.
2 RELATED WORK

Most record matching methods adopt a framework that uses two major steps ([17] and [23]):

1. Identifying a similarity function. Using training examples (i.e., manually labeled duplicate and nonduplicate records) and a set of predefined basis similarity measures/functions over numeric and/or string fields, a single composite similarity function over one pair of records, which is a weighted combination (often linear) of the basis functions, is identified by domain experts [20] or learned by a learning method, such as Expectation-Maximization, decision tree, Bayesian network, or SVM ([5], [12], [32], and [35]).

2. Matching records. The composite similarity function is used to calculate the similarity between the candidate pairs and highly similar pairs are matched and identified as referring to the same entity.

An important aspect of duplicate detection is to reduce the number of record pair comparisons. Several methods have been proposed for this purpose including standard blocking [21], sorted neighborhood method [20], Bigram Indexing [9], and record clustering ([12] and [27]). Even though these methods differ in how to partition the data set into blocks, they all considerably reduce the number of comparisons by only comparing records from the same block. Since any of these methods can be incorporated into UDD to reduce the number of record pair comparisons, we do not further consider this issue.

While most previous record matching work is targeted at matching a single type of record, more recent work ([13], [16], and [22]) has addressed the matching of multiple types of records with rich associations between the records. Even though the matching complexity increases rapidly with the number of record types, these works manage to capture the matching dependencies between multiple record types and utilize such dependencies to improve the matching accuracy of each single record type. Unfortunately, however, the dependencies among multiple record types are not available for many domains.

Compared to these previous works, UDD is specifically designed for the Web database scenario where the records to match are of a single type with multiple string fields. These records are heavily query-dependent and are only a partial and biased portion of the entire data, which makes the existing work based on offline learning inappropriate. Moreover, our work focuses on studying and addressing the field weight assignment issue rather than on the similarity measure. In UDD, any similarity measure, or some combination of them, can be easily incorporated.

Our work is also related to the classification problem using only a single class of training examples, i.e., either positive or negative, to find data similar to the given class. To date, most single-class classification work has relied on learning from positive and unlabeled data ([14], [15], and [24]). In [25], multiple classification methods are compared and it is concluded that one-class SVM and neural network methods are comparable and superior to all the other methods. In particular, one-class SVM distinguishes one class of data from another by drawing the class boundary of the provided data’s class in the feature space. However, it requires lots of data to induce the boundary precisely, which makes it liable to overfit or underfit the data and, moreover, it is very vulnerable to noise.

The record matching works most closely related to UDD are Christen’s method [8] and PEBL [36]. Using a nearest based approach, Christen first performs a comparison step to generate weight vectors for each pair of records and selects those weight vectors as training examples that, with high likelihood, correspond to either true matches (i.e., pairs with high similarity scores that are used as positive examples) or true nonmatches (i.e., pairs with low similarity scores that are used as negative examples). These training examples are then used in a convergence step to train a classifier (either nearest neighbor or SVM) to label the record pairs not in the training set. Combined, these two steps allow fully automated, unsupervised record pair classification, without the need to know the true match and nonmatch status of the weight vectors produced in the comparison step.

PEBL [36] classifies Web pages in two stages by learning from positive examples and unlabeled data. In the mapping stage, a weak classifier, e.g., a rule-based one, is used to get “strong” negative examples from the unlabeled data, which contain none of the frequent features of the positive examples. In the convergence stage, an internal classifier, e.g., SVM, is first trained by the positive examples and the autoidentified negative examples and is then used to iteratively identify new negative examples until it converges.

The major differences between Christen’s method, PEBL, and UDD are:

1. The weights used in Christen’s method are static, while in UDD the weights are adjusted dynamically.

2. Both Christen’s method and PEBL use only one classifier during the iterations of the convergence stage, while UDD uses two classifiers that cooperate. When there is only one single classifier in the convergence-stage iterations, the classified results from a previous iteration are used by the same classifier as the retraining examples for the next iteration, which makes it unlikely these results will help the classifier obtain a different hypothesis. Using two classifiers cooperatively can help prevent this problem.

3. PEBL is a general framework for the Web page classification problem and it needs a set of positive training examples, while UDD tackles a slightly different classification problem, online duplicate record detection for multiple Web databases. In this scenario, the assumption that most records from the same data source are nonduplicates usually holds, i.e., negative examples are assumed without human labeling, which helps UDD overcome the training examples requirement.

4. PEBL assumes that the set of positive training examples is correct; whether and by how much its performance will be affected by false positive examples is not known. Our experiments show that UDD’s
3 **Duplicate Detection in UDD**

In this section, the duplicate detection problem in the context of Web databases is first defined in Section 3.1, and then, an overview of our solution to this problem, the UDD method, is presented in Section 3.2. Next, the two main classifiers of UDD are described: a weighted component similarity summing classifier in Section 3.3 and an SVM classifier in Section 3.4. The similarity measure used in our experiments is briefly described in Section 3.5.

### 3.1 Problem Definition

Our focus is on Web databases from the same domain, i.e., Web databases that provide the same type of records in response to user queries. Suppose there are $s$ records in data source $A$ and there are $t$ records in data source $B$, with each record having a set of fields/attributes. Each of the $t$ records in data source $B$ can potentially be a duplicate of each of the $s$ records in data source $A$. The goal of duplicate detection is to determine the matching status, i.e., duplicate or nonduplicate, of these $s \times t$ record pairs.

#### 3.1.1 Duplicate Definition

Different users may have different criteria for what constitutes a duplicate even for records within the same domain. For example, in Fig. 1, if the user is only interested in the title and author of a book and does not care about the ISBN information, the records numbered 5 in Fig. 1a and the second record in Fig. 1b are duplicates. Furthermore, the records numbered 5 and 6 in Fig. 1a are also duplicates under this criterion. In contrast, some users may be concerned about the ISBN field besides the title and author fields. For these users, the records numbered 5 and 6 in Fig. 1a and the second record in Fig. 1b are not duplicates. This user preference problem makes supervised duplicate detection methods fail. Since UDD is unsupervised, it does not suffer from this problem.

#### 3.1.2 Assumptions and Observations

In this section, we present the assumptions and observations on which UDD is based. First, we make the following two assumptions:

1. A global schema for the specific type of result records is predefined and each database’s individual query result schema has been matched to the global schema (see, for example, the methods in [19] and [30]).
2. Record extractors, i.e., wrappers, are available for each source to extract the result data from HTML pages and insert them into a relational database according to the global schema (see, for example, the methods in [29], [37], and [38]).

Besides these two assumptions, we also make use of the following two observations:

1. The records from the same data source usually have the same format.
2. Most duplicates from the same data source can be identified and removed using an exact matching method.

Duplicate records exist in the query results of many Web databases, especially when the duplicates are defined based on only some of the fields in a record. Using a straightforward preprocessing step, exact matching, can merge those records that are exactly the same in all relevant matching fields.

We investigated 40 Websites for four popular domains on the Web, and as shown in Table 1, found that the simple exact matching step can reduce duplicates by 89 percent, on average. The main reason that exact matching is so effective at reducing duplicates is that the data format for records from the same data source is usually the same for all records. In Table 1, the duplicate ratio is defined as follows:

**Definition 1.** Suppose there are $m$ records extracted from a data source $d$. There can be $n = m(m - 1)/2$ record pairs generated, which are formed by putting every two records together. Suppose $t$ of the $n$ record pairs are duplicate records. The duplicate ratio of the $m$ records then is $t/n$.

#### 3.1.3 Problem Formulation

We formulate the duplicate detection problem following the completion of the exact matching step. We represent a pair of records $P_{ij} = \{r_1, r_2\}$, where $r_1$ and $r_2$ can come from the same or different data sources, as a similarity vector $V_{ij} = \langle v_1, v_2, \ldots, v_n \rangle$, in which $v_i$ represents the $i$th field similarity between $r_1$ and $r_2$: $0 \leq v_i \leq 1$. $v_i = 1$ means that the $i$th fields of $r_1$ and $r_2$ are equal and $v_i = 0$ means that the $i$th fields of $r_1$ and $r_2$ are totally different. Note that UDD can employ any similarity function (one or multiple) to calculate the field similarity. The similarity function we use in our experiments is discussed in Section 3.5.

We call a similarity vector formed by a duplicate record pair a *duplicate vector* and a similarity vector formed by a
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nonduplicate vector. Initially, two

sets of vectors can be built.

1. A nonduplicate vector set $N$ that includes similarity vectors formed by any two different records from the same data source.$^6$

2. A potential duplicate vector set $P$ that includes all similarity vectors formed by any two records from different data sources.

Given the nonduplicate vector set $N$, our goal is to try to identify the set of actual duplicate vectors $D$ from the potential duplicate vector set $P$.

3.2 UDD Algorithm Overview

An intuitive solution to this problem is that we can learn a classifier from $N$ and use the learned classifier to classify $P$. Although there are several works based on learning from only positive (or negative) examples, to our knowledge all works in the literature assume that the positive (or negative) examples are all correct. However, $N$ may contain a small set of false negative examples. For most general, single-class learning algorithms, such as one-class SVM, these noise examples may have disastrous effects [25].

We propose a method that identifies duplicate vectors in $P$ iteratively, in a way similar to [8] and [36]. However, different from these two works, in which only one classifier is used during the iterations, we employ two classifiers in each iteration that cooperate to identify duplicate vectors from $P$. Two classifiers are used since we believe that, if there is only one classifier, it is possible that the identified positive instances are not effective enough to retrain the classifier to get a more accurate hypothesis, while two classifiers with different characteristics may discover different sets of positive instances. Thus, the two classifiers can benefit from each other by taking advantage of duplicate vectors identified by the other classifier.

The overall UDD algorithm is presented in Fig. 2. In this algorithm, two classifiers in tandem, $C_1$ and $C_2$, identify the duplicate vector set $D$ iteratively. At the very beginning of the algorithm, the negative example set $N$ is used to set the parameters $W$ of $C_1$ (line 2). Then, $C_1$ is used to identify a set of duplicate vectors $d_1$ from $P$ and a set of duplicate vectors $f$ from $N$ (lines 3 and 4 and Fig. 3a). If there are no duplicates at the very beginning, i.e., $d_1 = 0$, the algorithm will stop at line 6 before any iteration begins. In each iteration, after creating $N'$ by deleting $f$ from $N$ (line 7) and adding $d_1$ and $f$ into $D$ (line 8), we train $C_2$ using the updated $D$ and $N'$ (line 9), i.e., using positive and negative examples. Next, we employ the trained $C_2$ to detect new duplicate vectors $d_2$ from $P$ (line 10), and then, remove $d_2$ from $P$ (line 11 and Fig. 3b) and add $d_2$ to $D$ (line 12). After $D$ is updated, $D$ and $N'$ are used to adjust the parameters $W$ of $C_1$ (line 13) that were set tentatively according to $N$ before any iteration began. Using $C_1$ with the new parameters $W$, we can identify a new set of duplicate vectors from $P$ ($d'_2$ in Fig. 3c) and a new set of duplicate vectors from $N$ ($f'$ in Fig. 3c), and a new duplicate vector detection iteration begins. The iteration stops when no new duplicates are identified by $C_1$ (Fig. 3d).

Fig. 2. Duplicate vector identification algorithm.

nonduplicate record pair a nonduplicate vector. Initially, two sets of vectors can be built.

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2. A potential duplicate vector set $P$ that includes all similarity vectors formed by any two records from different data sources.

Given the nonduplicate vector set $N$, our goal is to try to identify the set of actual duplicate vectors $D$ from the potential duplicate vector set $P$.

Fig. 3. Duplicate vector identification process. (a) Identify a set of duplicate vectors $d_1$ from $P$ and $f$ from $N$ using $C_1$ with parameters learned from $N$. (b) Identify a set of duplicate vectors $d_2$ from $P$ with $C_2$, which is learned from $N - f$ and $D$. (c) Identify a new set of duplicate vectors $d'_2$ from $P$ and $f'$ from $N$ using $C_1$ with parameters learned from $N - f$ and $D$. (d) Identify a set of duplicate vectors $d'_2$ from $P$ using $C_2$. The iteration stops when no new duplicate vectors are identified by $C_1$. 

6. If a large number of result records are returned, a very large number of record pairs will need to be formed. We do not limit the number of nonduplicate vectors in the experiments.

7. Since the simple exact matching method described in Section 3.1.2 is not perfect, i.e., $N$ could contain false negative examples due to spelling errors, etc., the duplicate detection algorithm is designed to consider a flawed negative training set. The experimental results in Section 4.3 show that UDD is still able to perform well even when there are a high percentage of duplicates within a single data source.
3.3 Weighted Component Similarity Summing (WCSS) Classifier

In our algorithm, classifier $C_1$ plays a vital role. At the beginning, it is used to identify some duplicate vectors when there are no positive examples available. Then, after iteration begins, it is used again to cooperate with $C_2$ to identify new duplicate vectors. Because no duplicate vectors are available initially, classifiers that need class information to train, such as decision tree and Naïve Bayes, cannot be used.

An intuitive method to identify duplicate vectors is to assume that two records are duplicates if most of their fields are under consideration are similar, such as the record numbered 3 in Fig. 1a and the third record in Fig. 1b. On the other hand, if all corresponding fields of the two records are dissimilar, it is unlikely that the two records are duplicates.

To evaluate the similarity between two records, we combine the values of each component in the similarity vector for the two records. As illustrated in Section 3.1.1, different fields may have different importance when we decide whether two records are duplicates. The importance is usually data-dependent, which, in turn, depends on the query in the Web database scenario. Hence, we define the similarity between records $r_1$ and $r_2$ as

$$Sim(r_1, r_2) = \sum_{i=1}^{n} w_i \cdot v_i,$$  \hspace{1cm} (1)$$

where $$\sum_{i=1}^{n} w_i = 1$$

and $w_i \in [0,1]$ is the weight for the $i$th similarity component, which represents the importance of the $i$th field. The similarity $Sim(r_1, r_2)$ between records $r_1$ and $r_2$ will be in $[0,1]$ according to the above definition.

### 3.3.1 Component Weight Assignment

In the WCSS classifier, we assign a weight to a component to indicate the importance of its corresponding field under the condition that the sum of all component weights is equal to 1. The component weight assignment algorithm is shown in Fig. 4. The intuition for the weight assignment includes:

1. **Duplicate intuition**: The similarity between two duplicate records should be close to 1. For a duplicate vector $V_{i12}$ that is formed by a pair of duplicate records $r_1$ and $r_2$, we need to assign large weights to the components with large similarity values and small weights to the components with small similarity values (lines 4-8).

2. **Nonduplicate intuition**: The similarity for two nonduplicate records should be close to 0. Hence, for a nonduplicate vector $V_{i12}$ that is formed by a pair of nonduplicate records $r_1$ and $r_2$, we need to assign small weights to the components with large similarity values and large weights to the components with small similarity values (lines 9-14).

According to the duplicate intuition, we design the following weight assignment scheme considering all duplicate vectors in $D$:

**Input:** Duplicate vector set $D$
Non-duplicate vector set $N$
Weighting scheme co-efficient $\alpha$

**Output:** Component Weight $W$

**Algorithm:**
1. For $i=1$ to $n$
2. $p=0$
3. $q=0$
4. For each vector $V_i=[v_{i1},...,v_{in}]$ in $D$
5. $p = p + v_i$
6. $S = \sum_{i=1}^{n} p_i$
7. For $i=1$ to $n$
8. $w_i = p_i / S$
9. For each vector $V_i=[v_{i1},...,v_{in}]$ in $N$
10. $q = q + 1 - v_i$
11. $S = \sum_{i=1}^{n} q_i$
12. For $i=1$ to $n$
13. $w_i = a \cdot w_i + (1-a)w_{ni}$
14. Return $W=[w_1,...,w_n]$

**Fig. 4. Component weight assignment algorithm.**

$$p_i = \sum_{v_i \in D} v_i \hspace{1cm} (2)$$

and

$$w_{di} = \frac{p_i}{\sum_{j=1}^{n} p_j} \hspace{1cm} (3)$$

in which $p_i$ is the accumulated $i$th component similarity value for all duplicate vectors in $D$ (lines 5 and 6) and $w_{di}$ is the normalized weight for the $i$th component (lines 7 and 8). For each component, if it usually has a large similarity value in the duplicate vectors, $p_i$ will be large according to (2) and, in turn, a large weight will be assigned for the $i$th component according to (3). On the other hand, the component will be assigned a small weight if it usually has a small similarity value in the duplicate vectors.

According to the nonduplicate intuition, we use the following weight assignment scheme considering all nonduplicate vectors in $N$:

$$q_i = \sum_{v_i \in N} (1 - v_i) \hspace{1cm} (4)$$

and

$$w_{ni} = \frac{q_i}{\sum_{j=1}^{n} q_j} \hspace{1cm} (5)$$

in which $q_i$ is the accumulated $i$th component dissimilarity value for all nonduplicate vectors in $N$ (lines 10 and 11) and $w_{ni}$ is the normalized weight for the $i$th component (lines 12 and 13). In a similarity vector $V = \langle v_1,v_2,...,v_n \rangle$, the $i$th component dissimilarity refers to $1 - v_i$. For each component, if it usually has a large similarity value in the
nonduplicate vectors, it will have a small accumulated dissimilarity according to (4) and will, in turn, be assigned a small weight according to (5). On the other hand, it will be assigned a large weight if it usually has a small similarity value in the nonduplicate vectors.

In general, the two intuitions will give rise to different weighting schemes, which need to be combined to generate a more reasonable weighting scheme. In our experiment, we give each scheme a weight to show its importance (line 14):

\[ w_i = a \cdot w_{di} + (1 - a)w_{ai} \]

in which \( a \in [0,1] \) denotes the importance of duplicate vectors versus nonduplicate vectors. At the start of our algorithm in Fig. 2, there is no duplicate vector available. Hence, \( a \) is assigned to be 0. As more duplicate vectors are discovered, we increase the value of \( a \). We initially set \( a \) to be 0.5 at the 2nd iteration to indicate that \( D \) and \( N \) are equally important and incrementally add 0.1 for each of the subsequent iterations.\(^8\)

3.3.2 Duplicate Identification

After we assign a weight for each component, the duplicate vector detection is rather intuitive. Two records \( r_1 \) and \( r_2 \) are duplicates if \( \text{Sim}(r_1, r_2) \geq T_{\text{sim}} \), i.e., if their similarity value is equal to or greater than a similarity threshold. In general, the similarity threshold \( T_{\text{sim}} \) should be close to 1 to ensure that the identified duplicates are correct. Increasing the value of \( T_{\text{sim}} \) will reduce the number of duplicate vectors identified by \( C_1 \). While, at the same time, the identified duplicates will be more precise. The influence of \( T_{\text{sim}} \) on the performance of our algorithm will be illustrated in Section 4.3.3.

3.4 \( C_2 \)—Support Vector Machine Classifier

After detecting a few duplicate vectors whose similarity scores are bigger than the threshold using the WCSS classifier, we have positive examples, the identified duplicate vectors in \( D \), and negative examples, namely, the remaining nonduplicate vectors in \( N' \). Hence, we can train another classifier \( C_2 \) and use this trained classifier to identify new duplicate vectors from the remaining potential duplicate vectors in \( P \) and the nonduplicate vectors in \( N' \).

A classifier suitable for the task should have the following characteristics. First, it should not be sensitive to the relative size of the positive and negative examples because the size of the negative examples is usually much bigger than the size of the positive examples. This is especially the case at the beginning of the duplicate vector detection iterations when a limited number of duplicates are detected. Another requirement is that the classifier should work well given limited training examples. Because our algorithm identifies duplicate vectors in an iterative way, any incorrect identification due to noise during the first several iterations, when the number of positive examples is limited, will greatly affect the final result.

According to [33], Support Vector Machine (SVM), which is known to be insensitive to the number of training examples, satisfies all the desired requirements and is selected for use in UDD. Because our algorithm will be used for online duplicate detection, we use a linear kernel, which is the fastest, as the kernel function in our experiments.

3.5 Similarity Calculation

The similarity calculation quantifies the similarity between a pair of record fields. As the query results to match are extracted from HTML pages, namely, text files, we only consider string similarity. Given a pair of strings \( (S_a, S_b) \), a similarity function calculates the similarity score between \( S_a \) and \( S_b \). which must be between 0 and 1. Since the similarity function is orthogonal to the iterative duplicate detection, any kind of similarity calculation method can be employed in UDD (e.g., [6] and [18]). Domain knowledge or user preference can also be incorporated into the similarity function. In particular, the similarity function can be learned if training data is available [31].

Specifically, in our experiments, a transformation-based similarity calculation method is adapted from [31] in which, given two strings \( S_a = \{t_{a1}, t_{a2}, \ldots, t_{an}\} \) and \( S_b = \{t_{b1}, t_{b2}, \ldots, t_{bm}\} \) containing a set of tokens, a string transformation from \( S_a \) to \( S_b \) is a sequence of operations that transforms the tokens of \( S_a \) to tokens of \( S_b \). Among others, the string transformations considered include \textit{Initial} (one token is equal to the first character of the other), \textit{Substring} (one token is a substring of the other), and \textit{Abbreviation} (characters in one token are a subset of the characters in the other token). After the \( k \) transformation steps are identified, the similarity score between \( S_a \) and \( S_b \) is calculated as the cosine similarity between the token vectors \( S_{a_k} \) and \( S_{b_k} \) with each token associated with a TF-IDF weight, where TF means the frequency of a token in a string and IDF the inverse of the number of strings that contain the token in the field. The TF value of a token is usually 1 and IDF will reduce the weight for tokens, such as the tokens in the user query that appear in multiple strings in a field.

4 Experiments

This section describes the experiments used to validate the UDD method. First, we introduce the data sets used in Section 4.1. Then, Section 4.2 describes the evaluation metric. Finally, the experimental results are presented in Section 4.3, which includes the influence of different parameters of our algorithm on the final results.

4.1 Data Sets

We tested UDD on five data sets. To compare UDD with existing approaches, we ran our experiments on Cora, which is a collection of research paper citations provided by [26] and whose subsets have been used in [5], [12], and [13]. Each citation of a paper originally was a text string and was manually segmented into multiple fields such as Author, Title, Year, etc. The paper coreferences, i.e., duplicates, which are citations that refer to the same paper, were manually labeled. Unfortunately, Cora is a noisy data set in which there are some fields that are not correctly segmented and there is some erroneous coreference labeling. In our experiments, we separate the Cora data set into different subsets to make sure that our assumption that the records...
from each data source are distinct holds. We assign the records into \( n \) subsets, where \( n \) is the largest number of duplicates that a paper has in Cora. Each duplicate is randomly assigned to a subset under the restriction that a paper’s duplicates cannot be assigned to the same subset.

To show the applicability of our algorithm for detecting duplicate query results collected from Web databases, we also collected some real Web data from Web databases in three domains: Book, Hotel, and Movie. For the Book domain, we collected records with fields such as Title, Author, Publisher, and ISBN. In our experiments, we run the UDD algorithm on the full data set with all four fields (referred to as Book-full) as well as on the subdata set with only fields Title and Author (referred to as Book-titau). For the Hotel domain, we collected records with Name, Address, and Star fields from 10 hotel-booking Web sites. For the Movie domain, we collected records with nine fields such as Title, Actor, Director, etc., from 10 movie-selling Web sites. Some of the databases do not provide values for some fields in their query results. In such cases, we leave these fields blank considering them to have a null value. When measuring the similarity of a record that has a null value field to another record, we set the component value for the null value field to be the average of all other nonnull fields’ component values in the similarity vector.

The properties of these data sets are summarized in Table 2. The results shown in later tables are the performance of the duplicate detection algorithms averaged over all the queries for each domain.

In our experiments, UDD is implemented in C++ and was run on a PC with an Intel 3.0 GHz CPU and 1 GB RAM. The operating system is Mandriva Linux 2005 Limited Edition and the compiler is GCC 3.4.3. It was observed that UDD could finish the record matching task within 1 second for each query, on average, which is quite acceptable in the Web database record matching scenario.

### 4.2 Evaluation Metric

As in many other duplicate detection approaches, we report the overall performance using recall and precision, which are defined as follows:

\[
\text{precision} = \frac{\# \text{of Correctly Identified Duplicate Pairs}}{\# \text{of All Identified Duplicate Pairs}},
\]

\[
\text{recall} = \frac{\# \text{of Correctly Identified Duplicate Pairs}}{\# \text{of True Duplicate Pairs}}.
\]

where the ground truth, i.e., \# of True Duplicate Pairs, for the Cora data set is given in [26] and that for the other data sets is manually identified.

However, as indicated in [10], due to the usually imbalanced distribution of matches and nonmatches in the weight vector set, these commonly used accuracy measures are not very suitable for assessing the quality of record matching. The large number of nonmatches usually dominates the accuracy measure and yields results that are too optimistic. Thus, we also use the \( F \)-measure, which is the harmonic mean of precision and recall, to evaluate the classification quality [2]:

\[
F\text{-measure} = \frac{2 \cdot \text{precision} \cdot \text{recall}}{\text{precision} + \text{recall}}.
\]

### 4.3 Experimental Results

#### 4.3.1 Cora Data Set

We ran UDD on the Cora data set and its three subsets individually when the similarity threshold \( T_{\text{sim}} = 0.85 \). Although Cora is a noisy data set, our algorithm still performs well over it. UDD has a precision of 0.896, recall of 0.950, and \( F \)-measure of 0.923 over the Cora data set. We compared our results with other works that use all or part of the Cora data set. Bilenko and Mooney [5], in which a subset of the Cora data set is used, report an \( F \)-measure of 0.867. Cohen and Richman [12] report 0.99/0.925 for precision/recall using a subset of the Cora data set. Culotta and McCallum [13] report an \( F \)-measure of 0.908 using the full Cora data set. From this comparison, it can be seen that the performance of UDD is comparable to these methods, all of which require training examples.

#### 4.3.2 Web Database Data Sets

Table 3 shows the precision, recall, \( F \)-measure value, and actual execution time of UDD on the Web database data sets when the similarity threshold \( T_{\text{sim}} = 0.85 \). It can be seen that UDD can efficiently identify duplicates among records from multiple data sources with good precision and recall, on average.

### 4.3.3 Performance Evaluation

#### Effect of the threshold \( T_{\text{sim}} \)

In Section 3.3.2, it is assumed that two records \( r_1 \) and \( r_2 \) are duplicates if their similarity \( \text{Sim}(r_1, r_2) \) is larger than or equal to a similarity threshold...
Table 4 shows UDD’s performance when using five different similarity thresholds ($T_{sim}$: 0.75, 0.80, 0.85, 0.90, and 0.95) on the four Web database data sets. The iteration row in this table indicates the number of iterations required for UDD to stop.

It can be seen that the duplicate vector detection iterations stop very quickly. All of them stop by the fifth iteration. On the one hand, the smaller $T_{sim}$ is, the more iterations are required and the higher the recall. This is because the WCSS classifier with smaller $T_{sim}$ identifies more duplicates and most of them are correct duplicates. Hence, with more correct positive examples, the SVM classifier can also identify more duplicates, which, in turn, results in a higher recall. On the other hand, the smaller $T_{sim}$ is, the lower the precision. This is because the WCSS classifier with smaller $T_{sim}$ is more likely to identify incorrect duplicates, which may incorrectly guide the SVM classifier to identify new incorrect duplicates. In our experiments, the highest F-measures were achieved when $T_{sim} = 0.85$ over all the four data sets.

We also observe from Table 4 that the iterations stop more quickly when the threshold $T_{sim}$ is high. When $T_{sim}$ is high, vectors are required to have more large similarity values on their fields in order to be identified as duplicates in the early iterations. Hence, vectors with only a certain number of fields having large similarity values and other fields having small similarity values are likely to stay in the negative example set $N$. Recall that, according to the nonduplicate intuition, when setting the component weights in the WCSS classifier, fields with more large similarity values in $N$ gain smaller weights and fields with more small similarity values in $N$ gain larger weights. The vectors that stay in $N$ would make the fields with small similarity values on all vectors in $N$ relatively even smaller compared with other fields. Thus, they gain larger weights. In turn, the vectors staying in $N$ are even more unlikely to be identified as duplicates in the next iteration because of the larger weights on their fields with small similarity values. Consequently, a high $T_{sim}$ value makes it difficult for the WCSS classifier to find new positive instances after the first two iterations.

Influence of duplicate records from the same data source. Recall that, in Section 3, we assumed that records from the same data source are nonduplicates so that we can put pairs of them into the negative example set $N$. However, we also pointed out that, in reality, some of these record pairs could be actual duplicates. We call the actual duplicate vectors in $N$ false nonduplicate vectors. The number of false nonduplicate vectors increases as the duplicate ratio, defined in Definition 1 in Section 3.1.2, increases.

The false nonduplicate vectors will affect the two classifiers in our algorithm in the following ways:

1. While setting the component weights $W$ in WCSS according to the nonduplicate intuition, components that usually have large similarity values in $N$ will be assigned small weights. Note that the false nonduplicate vectors are actually duplicate vectors with large similarity values for their components. As a result, an inappropriate set of weights could be set for WCSS.

2. Since the SVM classifier learns by creating a hyperplane between positive and negative examples, the false nonduplicate vectors will incorrectly add positive examples in the negative space. As a result, the hyperplane could be moved toward the positive space and the number of identified duplicate vectors will be much smaller.

Deleting the false nonduplicate vectors from $N$ in the algorithm in Fig. 2 (line 7) can alleviate this effect. Table 5 shows the performance of UDD under different duplicate ratios: 0, 2, 5, and 10 percent. As expected, the performance decreases as the duplicate ratio increases. However, if the duplicate ratio is small, the performance is not greatly affected, e.g., when the percentage is 2 percent. The F-measure is still larger than 0.88 even when the duplicate ratio is 10 percent, which is actually a quite high duplicate ratio, as illustrated by Example 1.

Example 1. Suppose there is a data set with 100 records that are extracted from the same data source in which every
10 records represent the same entity, i.e., the data set contains only 10 distinct records. There will be $100 \times 99/2 = 4950$ record pairs, i.e., nonduplicate vectors, generated in total containing $(10 \times 9/2) \times 10 = 450$ false nonduplicate vectors. That is, the duplicate ratio of this data set is $450/4950 = 9.09$ percent.

**Effect of the number of iterations.** Fig. 5 shows the performance of UDD at the end of each duplicate detection iteration over the four Web database data sets when $T_{sim} = 0.85$. It can be seen that the iteration stops quickly for all data sets and takes at most four iterations.

### 4.3.4 Comparison with Other Classification Methods

Table 6 shows the performance comparison between UDD and other learning methods on the Book-full data set ($T_{sim} = 0.85$). UDD outperforms both PEBL and Christen’s method on both precision and recall, and for PEBL especially on the recall. While both PEBL and UDD employ two classifiers, the two classifiers in UDD alternately cooperate inside the iterations while the weak classifier in PEBL only works before the iterations. Thus, UDD outperforms PEBL since in UDD either classifier can identify instances that cannot be identified by the other classifier, which reduces the possibility of being biased by the false positive/negative examples. In both PEBL and Christen’s method, there is only one classifier in the iterations. When there is only one classifier in the iterations, the identified results in a previous iteration are used by the same classifier as the new training examples for the next iteration, which makes the classifier more vulnerable to false examples.

It can also be seen that UDD is slower than SVM and OSVM because UDD needs two iterations to identify the duplicates. However, UDD is faster than PEBL and Christen’s method, which require more iterations than UDD to identify all duplicates.

### 5 Conclusions

Duplicate detection is an important step in data integration and most state-of-the-art methods are based on offline
learning techniques, which require training data. In the Web database scenario, where records to match are greatly query-dependent, a pretrained approach is not applicable as the set of records in each query’s results is a biased subset of the full data set.

To overcome this problem, we presented an unsupervised, online approach, UDD, for detecting duplicates over the query results of multiple Web databases. Two classifiers, WCSS and SVM, are used cooperatively in the convergence step of record matching to identify the duplicate pairs from all potential duplicate pairs iteratively. Experimental results show that our approach is comparable to previous work that focuses on identifying duplicates from the query results of multiple Web databases.

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Jiying Wang received the BS degree in computer science from Peking University, China, in 1999, and the PhD degree in computer science from The Hong Kong University of Science and Technology, Hong Kong, in 2004. She is currently lecturing at the Department of Computer Science, City University of Hong Kong. Her research interests include Web search, Web information extraction, the deep Web, data integration, and information retrieval.

Frederick H. Lochovsky received the BASc degree in engineering science and the MSc and PhD degrees in computer science from the University of Toronto. He is currently a professor in the Department of Computer Science and Engineering, The Hong Kong University of Science and Technology. He was previously a professor in the Department of Computer Science at the University of Toronto. His research interests include hidden Web search and data integration, information systems design, database design, and knowledge representation. He is a member of the IEEE Computer Society.

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